

Leahy Wealth Management
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(301) 990-9290

	Client	Client / Spouse
Full Name:	_____	_____
Social Security Number:	_____	_____
Date of Birth:	____ / ____ / ____	____ / ____ / ____
Home Address:	_____ _____	
Home Phone:	_____	
Office Phone:	_____	_____
Prefer to be called at:	Home / Office	Home / Office
E-mail	_____	_____

Please complete the following for each child:

Name	Age	Dependent
_____	_____	yes / no
_____	_____	yes / no
_____	_____	yes / no
_____	_____	yes / no

Please list grandchildren and their approximate age. _____

1. What is the objective of your portfolio?

- a. Retirement planning: _____ years until retirement: _____ yrs.
 - b. Retirement Income:
 - c. other: _____ years until objective: _____ yrs.
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2. The overall financial objective of this Portfolio is to:

- a. Avoid long-term erosion of capital (preservation of capital).
- b. Keep pace and possibly outperform inflation (security of purchasing power).
- c. Produce tax-free income.
- d. Produce current income.
- e. Produce a combination of income and capital appreciation.
- f. Achieve capital appreciation (growth of capital with little or no income).

3. Which range of returns listed below most closely reflects the characteristics of an investment portfolio that you could tolerate?

Investment	Return Characteristics	
<input type="checkbox"/> A (T-Bills)	Range of annual returns: Largest consecutive 4-quarter decline: Average annual return	+0.2% to 5% +.05% +3.0%
<input type="checkbox"/> B	Range of annual returns: Largest consecutive 4-quarter decline: Average annual return	0% to 10% -12% +5.0%
<input type="checkbox"/> C	Range of annual returns: Largest consecutive 4-quarter decline: Average annual return	-14% to 14% -22% +7%
<input type="checkbox"/> D (S&P 500)	Range of annual returns: Largest consecutive 4-quarter decline: Average annual return	-28% to 25% -50% +9.2%
<input type="checkbox"/> E	Range of annual returns: Largest consecutive 4-quarter decline: Average annual return	-40% to 40% -85% +11%

Description of Income and Assets

	Husband	Wife
<u>Income</u>		
Salary	_____	_____
Other	_____	_____
<hr/>		
<hr/>		
<hr/>		

Monthly / Annual Budget - Please provide your total monthly and annual budget. An accurate budget is crucial to the planning process. For your convenience a budget worksheet is included on the website leahywealthmanagement.com on the financial planning page.

Qualified Retirement Assets / Pension plans (List all – OR – **Attach statements**)

Current Value:

IRA Plan	_____	_____
401K/ Hr-10 Keogh Plan	_____	_____
Qualified Pension/Profit Sharing Plan	_____	_____

If statements are not available please list how the above qualified assets are invested?

Social Security Calculations: (Please list estimates – OR – **Attach estimate statements**)

** To obtain a precise estimate contact SSA at 800-772-1213 request social security form 7004.*

*** If you do not know your benefit amounts, an amount will be calculated based on your annual income.*

Assets

(Please do not include Qualified Retirement Assets from previous page)

Savings Accounts

Checking Accounts

Certificates of Deposit

Money Market Accounts

U.S. Government Bonds / Savings Bonds

Residence _____

Vacation Home(s) _____

Automobiles _____

Other Tangible Personal Property _____

Insurance

Life Insurance: (Don't forget your employer coverage) List details – OR – **Attach statements**

Long Term Care Insurance: Please list details – OR – **Attach statements**

Disability Insurance: Please list details – OR – **Attach statements**

Asset Protection, Liability Insurance, Property Casualty

This is a very important area that is often overlooked in the financial planning process. Given the litigious world that we live liability protection is absolutely crucial to your future financial security. Please list the detailed liability coverage on your home(s), auto(s), boats(s), etc.

Debt Obligations

Mortgages (terms, rates)

Other Loans

Closely Held Business Interests

Business #1

a) Type of Interest: Sole Owner / Partnership / Corporation S or C

b) Name of Business: _____

c) Percentage of Ownership: _____

d) Fair Market Value: _____

Description of Company:

Is there a buy/sell agreement (death protection)?

Is there a business succession plan, or sale arrangement / consideration?

Future Objectives / Additional Information:
